



(REVIEW ARTICLE)



## Sleep: A complex biological process

Mohd. Maroof Siddiqui <sup>1,\*</sup> and Mohd. Suhaib Kidwai <sup>2</sup>

<sup>1</sup> College of Engineering, Dhofar University, Oman.

<sup>2</sup> Integral University, India.

GSC Biological and Pharmaceutical Sciences, 2024, 29(03), 082–088

Publication history: Received on 26 October 2024; revised on 02 December 2024; accepted on 04 December 2024

Article DOI: <https://doi.org/10.30574/gscbps.2024.29.3.0459>

### Abstract

Sleep is one of the most basic physiological process essential to physical recovery, cognitive functioning and emotional wellbeing. Throughout this paper, sleep mechanisms and their biological significance e.g., energy restoration, tissue recovery, and neural detoxification are addressed. It explores the role of circadian rhythms, the firing of neurons and the hormone signals of the brain that help maintain the sleep-wake cycle and how disruption in them can throw the sleep-wake cycle off balance, which can result in sleep disorders and other issues. One of the basic methods of sleep research is the Electroencephalogram (EEG) method in which the electrical activity of the brain is recorded at various sleep stages. The paper reviews advancements in improving EEG-based methods for classifying sleep stages and detecting conditions such as epilepsy, Alzheimer's disease and rapid-eye movement (REM) abnormalities. Recent developments in machine learning and signal processing tools such as time-frequency analysis and neural networks improved the accuracy of diagnosis and prediction of sleep disorders.

However, the current contribution is an extensive review of experimental literature and demonstrate thopacuity of combining EEG features with modern computational techniques to provide a better grasp of the microstructure of sleep states and disorders. They facilitate superior automated systems for diagnosis that enhance patient outcomes, and translate to broader applications in health care.

**Keywords:** EEG Signal Processing; Sleep Disorders Diagnosis; Neurodegenerative Diseases; Artificial Intelligence in Neurology

### 1. Introduction

Sleep is defined as an altered state of consciousness, marked by decreased sensory activity, relaxed muscles, and temporary inhibition of voluntary muscle movements. In fact, sleep is not a passive state in which the body completely turns itself off, as is commonly assumed. Essential organs, such as the heart, brain and lungs, keep running during sleep, which also belies its complicated form [6-9].

#### 1.1. The Importance of Sleep

Sleep is important for not only humans but animals as well. It is critical for: Physical Health: Increases energy and promotes cellular healing. Exercise: Boosts cognitive functions such as memory, decision-making, and emotional regulation. Chronic sleep deprivation will trigger emotional disorders, a weakened immune system and an unhealthy body.

\* Corresponding author: Mohd. Maroof Siddiqui

## 1.2. Circadian Rhythms

Circadian rhythms are the body's internal biological clock, with brain neurons regulating it based on signals in the environment such as light, temperature and hormones. These rhythms can affect cycles of sleep and wakefulness, the release of hormones and body temperature.[10-12]

## 1.3. Disruption of circadian rhythm

Jet Lag: When a person travels through time zones, they end up with an internal clock that doesn't match the environment. This temporal mismatch can lead to fatigue and disturbed sleep until the body adapts, 1–3 days under most circumstances.

## 2. Background

Our scientific understanding of sleep and its disorders has gained a lot of ground since the 1960s. Initial progress was motivated by the research in applied behavior analysis (ABA) and functional behavioral assessments that linked sleep Disharmony to behavioral and environmental factors.

### 2.1. Functional characterization of problem behaviors

Functional analysis is the study of the antecedents (the triggers) and consequential reinforces of behaviors.

- **Behavioral Spikes:** Factors in a child's environment such as parental attention or sensory stimulation can reinforce certain behaviors, including nighttime awakening.
- **Behavior Therapy:** Therapeutic strategies: They are based on functional analysis: Actions are trained to alter behaviors involved in insomnia. Such therapies might involve approaches such as extinction and positive reinforcement, in which reinforcement for unwanted behaviors is eliminated and reinforcement for healthy sleep habits is introduced.

This model has been beneficial in informing sleep management of other developmental disabilities, as well as other populations.[14]

In this section, we provide a detailed review of some of the most impactful work in predicting sleep disorders.

**Literature Review:** The field of biomedical signal analysis, specifically EEG-based studies, has seen substantial advancements over the years. Several research works have proposed novel methodologies to analyze and interpret EEG signals for applications ranging from sleep stage classification to disease diagnosis[1-7]. The table below summarizes significant contributions in this domain:

**Table 1** Literature Review Summary

Reference	Methodology	Findings
Tzallas et al. (2009)	Short-Time Fourier Transform (STFT) for time-frequency analysis of EEG signals.	Detected epileptic seizures using Power Spectral Density (PSD) evaluation and artificial neural networks.
Tzallas et al. (2007)	Time-frequency distributions (TFD) for analyzing non-stationary EEG signals.	Time-frequency methods provided superior results for detecting epileptic seizures compared to traditional frequency domain analysis.
Parhi (2014)	Low-complexity Welch PSD computation algorithm.	Reduced computational complexity by 33% while maintaining performance for EEG signal analysis.
Agarwal (2005)	Detected rapid eye movements (REMs) using Electrooculogram (EOG) signals.	Developed an innovative detection scheme for REM events, enhancing the accuracy of sleep stage identification.
Diykh et al. (2016)	Statistical features and structural graph similarity for EEG sleep stage classification.	Classified six sleep stages using time-domain features without requiring preprocessing, improving efficiency.
Wang (2015)	Analyzed EEG signals from Alzheimer's patients using PSD and bispectral analysis.	Identified abnormalities in brain activity associated with Alzheimer's disease, contributing to early diagnosis and targeted interventions.

Ebrahimi (2008)	Combined wavelet packet coefficients and neural networks for sleep stage classification.	Achieved high-accuracy classification of REM and non-REM sleep stages, aiding in understanding sleep mechanisms.
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### 2.1.1. Key Insights from the Literature

Signal Processing Methods: Advanced techniques such as STFT (Short-Time Fourier Transform), Welch Power Spectral Density (PSD), and Wavelet Transform techniques tackle the nonstationarity problem of the EEG signals, which enhances the accuracy of sleep stage classification and sleep disorder prediction[15-20].

- **Efficient Computation:** Low-complexity algorithms and other methods reduce the technical burden of achieving real-time applications while improving accuracy.
- **Clinical Relevance:** The findings support the growing evidence that abnormal sleep is linked to the development of other clinical conditions (eg, epilepsy, Alzheimer’s disease), and that EEG analysis may have broader implications beyond sleep disorders.

This literature review highlights how research methodologies in sleep based research have evolved over time, with increased attention being paid to precision, efficiency and clinical relevance in predicting and diagnosing sleep disorders. If you would like more detail on any of these studies or more references, please let me know.

## 3. Importance of EEG in sleep studies

Electroencephalography (EEG) has been an excellent method for inspecting the brain’s electrical activity throughout and during sleep. It offers invaluable information on how and when we shift between different sleep states, and can help detect problems related to sleep disorders[21-26].

### 3.1. Polysomnography and EEG

The gold standard for sleep studies, polysomnography, integrates EEG with peripheral physiological measures to provide a more comprehensive picture of sleep. It records:

- **Electroencephalogram (EEG):** measures the electrical activity in your brain and can show your stage of sleep, including REM and non-REM sleep.
- **Electrooculogram (EOG):** Measures eye movements, especially in REM sleep.
- **Electromyogram (EMG):** muscle activity; feel between wake and sleep states

But traditional EEG analysis has its shortcomings:

Difficult when utilizing linear methods (e.g. Fourier Transform) due to the non-stationary nature of EEG signals[27-32].

Fixed epoch approach: Sleep stages are defined in terms of fixed epoch lengths (20-30 seconds), and transient phenomena such as micro-arousals or very short REM episodes may be missed[33-39].

### 3.2. More Complex Approaches to EEG Analysis

These challenges have prompted modern computational approaches that allow for more accurate, detailed analysis of EEG signals:

- **Power Spectral Density (PSD):** PSD estimates how power is distributed in different frequency bands. This helps in noticing patterns such as the presence of alpha waves during light sleep and delta waves during deep sleep.
- **Multiresolution Essentials:** Concepts such as Short-Time Fourier Transform (STFT) and wavelet transformations enable running simultaneous analyses of time-varying and frequency-varying aspects of EEG signals.
- **Machine Learning Algorithms:** Algorithms such as neural networks and support vector machines (SVM) use EEG features to automatically classify sleep stages and identify disorders with great accuracy[40-44].

This has improved diagnosis and treatment of sleep disorders and has enabled personalized medicine and telemedicine.

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#### 4. Perspectives on sleep disorder prediction

Sleep issues that impact millions of people across the globe have devastating consequences for physical and mental health and require ever more innovative ways of diagnosis and prediction. The prediction and analysis of sleep disorders rely heavily upon the use of computational techniques on biosignals such as electroencephalography (EEG), electrooculography (EOG), and electromyography (EMG). Recent approaches that combine time-frequency resolution features with learning-based systems and with other advanced signal processing techniques have shown improved performance[45-49].

In sleep disorders, abnormalities in brain activity are detected and stages of sleep are classified. Non-invasive biosignals recording (such as EEG recording) for determining brain wave patterns and sleep stage transitions is the most commonly used method in the sleep studies. Eventually researchers began the incremental steps toward addressing the challenges posed by the phenomenon of non-stationarity and dynamic characteristics of the EEG processes[50-54].

The utilization of multilayer feedforward neural networks, recurrent neural network LSTMs, adversarial networks, transformers has become imperative in feature extraction for EEG data, sleep staging, and disorders prediction (e.g., sleep apnea, insomnia, narcolepsy) from the derived extracted features. The advancements are set to establish tailored treatment solutions, dynamic monitoring systems and robust diagnostic infrastructures.[55]

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#### 5. Sleep stages and classification

There are two broad classifications of sleep:

REM (Rapid Eye Movement) Sleep Non-

- Stage 1: Between 1–5 minutes, transitional state characterized by alpha and theta waves.
- Stage 2: Baseline sleep with sleep spindles and K-complexes (40–50% of total sleep).
- Stage 3: 20–50% delta waves in EEG activity and deep sleep[56-57]
- Stage 4: Stage of deepest sleep, greater than 50% of EEG activity has delta waves.[58]

Rapid Eye Movement (REM):

Associated with dreaming. Depicted with low-voltage, mixed frequency, rapid eye movements, and atonia[59-62]

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#### 6. Conclusion

Sleep, a complex process that humans have evolved over millions of years, is essential for our physical and emotional condition. Subject to complex biological rhythms, including the circadian cycle, and to environmental and physiological stimuli, sleep is hardly a passive phase. Understanding its mechanisms not only informs how brain and body maintain communication in times of rest, but also highlights the topic: how healthy sleep patterns correlate with overall health. EEG has been essential to sleep research, providing insights into the brain's activity in the various stages of sleep. EEG detects electrical patterns, allowing for the classification of sleep disorders like sleep apnea, insomnia, and narcolepsy. Modern signal processing techniques, like time-frequency analysis, Power Spectral Density (PSD) computation, and wavelet transforms have greatly enhanced the accuracy of sleep studies. These approaches help overcome the difficulties presented by the non-stationary aspect of EEG data, and improve the accuracy of sleep stage recognition and disorder diagnosis.

A multi-dimensional approach to sleep research has produced results that were not possibly available a few years ago, thanks to machine learning algorithms and neural networks. It creates a few solid tools to mechanise sleep assessment, identifying trends in large datasets and advancing the expectation and control over sleep disorders. Feature extraction and deep learning based methods have significant potential to facilitating personalized diagnosis systems and real-time monitoring devices.

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#### Compliance with ethical standards

*Disclosure of conflict of interest*

No conflict of interest to be disclosed.

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